Client Relationship Summary
Global Alliance Securities, LLC July 24, 2026

Global Alliance Securities, LLC is registered with the Securities and Exchange Commission and FINRA as a broker-dealer and is a member of SIPC. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences.

This document is a summary of the services and fees we offer to retail investors which are natural persons who seeks or receives securities services primarily for personal, family, or household purposes. Additionally, free and simple tools are available to research firms and financial professionals at Investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers, and investing and brokercheck.finra.org which provides pertinent facts including disciplinary history about member firms and individual registered representatives.

What investment services and advice can you provide me?

We limit our brokerage offerings to retail investors to *Private Placements of Offerings in securities. We do not offer traditional brokerage or investment advisory services to retail clients.*

If you open place an investment in a private placement offering, as part of our standard service we will not monitor your investments on a regular basis.

For parameters of the services and investments listed above, please refer to disclosures in the private placement memorandums for each securities offering.

Key Questions to Ask Your Financial Professional

- ✓ **Given my financial situation, should I choose a brokerage service? Why or Why Not?**
- ✓ **How will you choose investments to recommend to me?**
- ✓ **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

We do not charge separate fees to retail customers. However, each investment program has a multitude of fees and costs associated with the investment that are earned by the issuer and us as the placement agent. Those fees are explained in detail in the private placement memorandum.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For detailed information regarding fees, refer to the disclosures in the private placement memorandum for a particular investment.

Key Questions to Ask Your Financial Professional

- ✓ **Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means.

- *Compensation: We earn fees based on the amount of securities we place in an offering.*

Key Question to Ask Your Financial Professional

✓ **How might your conflicts of interest affect me, and how will you address them?**

For additional information on our conflicts of interest, please see the disclosure on our website.

How do our financial professionals make money?

The financial professional servicing your account(s) are compensated based on bonus and commissions.

Do you or your financial professionals have legal or disciplinary history?

Yes. Disclosures for our firm or our financial professionals can be found by going to Investor.gov/CRS.

Key Questions to Ask Your Financial Professional

✓ **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about our brokerage services and request a copy of the relationship summary at 212-878-6500 or by clicking the link provided
www.globalalliancesecurities.com.

Key Questions to Ask Your Financial Professional

✓ **Who is my primary contact person?**
✓ **Is he or she a representative of an investment adviser or a broker-dealer?**
✓ **Who can I talk to if I have concerns about how this person is treating me?**